

June 03, 2024

To
Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

Reference: Scrip Code - 530245

Sub: Intimation under Regulation 30 of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI LODR”)

Dear Sir,

Pursuant to Regulation 30 of SEBI LODR, the Company is required to disclose details of action(s) taken or orders passed by any regulatory, statutory, enforcement authority or judicial body against the listed entity, subsidiary etc. as mentioned in Clause 20 of Para A of Part A of Schedule III of SEBI LODR. Accordingly, please find below the details of SEBI order w.r.t. inspection carried out by SEBI for the period April 01, 2022 to December 31, 2023.

The details, as required under Clause 20 of Part A of Schedule III of the SEBI Listing Regulations, read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given under as Annexure – A.

Kindly take the same on record.

Thanking you
Yours Faithfully,

For Aryaman Financial Services Limited

Shirpal Shah
Managing Director
DIN: 01628855

Annexure - A**Requisite details pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Sr. No.	Particulars	Details
1	Name of the Authority	Securities and Exchange Board of India (“SEBI”)
2	Nature and details of the actions taken, initiated or orders passed	Securities and Exchange Board of India (SEBI) vide order no. Order/NH/YK/2024-25/30392 imposed a penalty of Rs. 2,00,000/- (Rupees Two Lakhs only) under Section 15HB of SEBI Act, 1992 (“SEBI Act”).
3	Date of receipt of direction or order including any ad-interim or interim orders, or any other communication from the authority	SEBI Adjudication Order No. Order/NH/YK/2024-25/30392 dated May 31, 2024 received by us via email on May 31, 2024 (after office hours). We had made the relevant payment to SEBI on June 03, 2024.
4	Details of the violations / contraventions committed or alleged to be committed	Violation of provisions of Regulation 9(1) read with Schedule B and C of the PIT Regulations and Regulations 9A(1)(e) and 13 read with clauses 4 and 21 of Schedule III of Merchant Bankers Regulations 1992.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	The impact on the financial position of the Company is limited to the penalty imposed on the Company i.e Rs. 2,00,000/- (Rupees Two Lakhs only).

For Aryaman Financial Services Limited**Shirpal Shah**
Managing Director
DIN: 01628855